

12/13/01

JC931 U.S. PTO

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HCG27 U.S. PRO

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|--|--|--|---|
| Vidas, Arrett & Steinkraus Utility Patent Application Transmittal | | Atty. Docket No | N47.2-10338 |
| | | First Inventor | John C. Pederson |
| | | Title: | LED WARNING SIGNAL LIGHT AND ROW OF LED'S |
| | | Express Mail Label No. | EK219354798US |
| Application Elements | | Address To: Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| 1. <input checked="" type="checkbox"/> | Fee Transmittal Form | Pages 2 | |
| | <input checked="" type="checkbox"/> Check Included | Pages 1 | |
| 2. <input checked="" type="checkbox"/> | Applicant claims small entity status | Pages 1 | |
| 3. <input checked="" type="checkbox"/> | Specification (including pg cover sheet, 55pg description, 3pg claims and 1pg abstract) | Pages 59 | |
| 4. <input checked="" type="checkbox"/> | Drawings | Pages 20 | |
| 5. <input checked="" type="checkbox"/> | Oath or Declaration <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed) <ul style="list-style-type: none"> i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application | Pages 3 | |
| 6. <input checked="" type="checkbox"/> | Application Data Sheet | Pages 1 | |
| 7. <input checked="" type="checkbox"/> | Assignment Papers (cover sheet & documents and check) <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Previously recorded on 9/29/2000, Reel 011129, Frames 0423 | Pages 3 | |
| 8. <input checked="" type="checkbox"/> | Power of Attorney <ul style="list-style-type: none"> <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee) | Pages 1 | |
| 9. <input type="checkbox"/> | English Translation Document | Pages | |
| 10. <input type="checkbox"/> | Information Disclosure Statement <ul style="list-style-type: none"> <input type="checkbox"/> Copies of Citations (references) | Pages | |
| 11. <input checked="" type="checkbox"/> | Preliminary Amendment | Pages 8 | |
| 12. <input checked="" type="checkbox"/> | Return Receipt Postcard | Pages 1 | |
| 13. <input type="checkbox"/> | Certified Copy of Priority Document | Pages | |
| 14. <input type="checkbox"/> | Nonpublication Request | Pages | |
| 15. <input checked="" type="checkbox"/> | Constructive Petition | Pages 1 | |
| 16. <input type="checkbox"/> | Limited Authorization | Pages | |
| 17. <input checked="" type="checkbox"/> | VAS Utility Patent Application Transmittal | Pages 1 | |
| 18. <input type="checkbox"/> | Other | Pages | |
| 19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet | | | |
| <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no. 09/586,221 | | | |
| Prior Application Information: Examiner | | Group Art Unit | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. | | | |
| 20. TOTAL NUMBER OF PAGES 101 | | | |
| 21. CORRESPONDENCE ADDRESS | | | |
|  | | | |
| 00490 <small>PATENT TRADEMARK OFFICE</small> | | | |
| INSERT CUSTOMER NUMBER LABEL ABOVE | | | |
| Name | Edwin E. Voigt, Reg. No. 36,042 | | |
| Signature |  | | Date 12/13/2001 |
| | | 12-13-01 | |

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)
608.00

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | Pending |
| Filing Date | Concurrently herewith |
| First Named Inventor | John C. Pederson |
| Examiner Name | |
| Group Art Unit | |

Attorney Docket No. N47.2-10338

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees to:
 Deposit Account Number 22-0350
 Deposit Account Name Vidas, Arrett & Steinkraus

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27
 Credit any overpayments to Deposit Account No. 22-0350
 Deposit Account Name: Vidas, Arrett & Steinkraus

2. Payment Enclosed:

Check Credit Card Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------------|----------|--------------|----------|--|---------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | ---- |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | ---- |
| 139 | 130 | 139 | 130 | Non-English specification | ---- |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | ---- |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | ---- |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | ---- |
| 115 | 110 | 215 | 55 | Extension for reply within first month | ---- |
| 116 | 400 | 216 | 200 | Extension for reply within second month | ---- |
| 117 | 920 | 217 | 460 | Extension for reply within third month | ---- |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | ---- |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | ---- |
| 119 | 320 | 219 | 160 | Notice of Appeal | ---- |
| 120 | 320 | 220 | 160 | Filing of Appeal | ---- |
| 121 | 280 | 221 | 140 | Request for oral hearing | ---- |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | ---- |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | ---- |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | ---- |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | ---- |
| 143 | 460 | 243 | 230 | Design issue fee | ---- |
| 144 | 620 | 244 | 310 | Plant issue fee | ---- |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | ---- |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | ---- |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | ---- |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | \$40.00 |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | ---- |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | ---- |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | ---- |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | ---- |
| Other Fee (specify) _____ | | | | SUBTOTAL (3) _____ | (\$) 40.00 |

SUBTOTAL (1) \$ 370.00

2. EXTRA CLAIM FEES

| Total Claims | 32 -20** = 22 X | Fee from below | Fee Paid |
|--------------------|-----------------|----------------|----------|
| Independent Claims | 2 - 3** = 0 X | 42.00 | = 0.00 |
| Multiple Dependent | ----- | ----- | = |

| Large Entity | | Small Entity | | Fee Description |
|------------------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) \$ 198.00 | | | | |

**or number previously paid, if greater; For Reissues, see above

Edwin E. Voigt, Reg. No. 36,042

Telephone 952-563-3000

Signature

Date 12/13/2001

R-B-01

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